

Electronic Acknowledgement Receipt

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Application Number:	10603100
International Application Number:	
Confirmation Number:	1663
Title of Invention:	Confidential block trading system and method
First Named Inventor/Applicant Name:	Henri Waelbroeck
Customer Number:	9629
Filer:	Frank Morris/Nancy Swanson
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		61165_0011_IDS_.pdf	188975	yes	5
			c770da300768ddcd428196a7094c0b8b18c07af2		

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Information Disclosure Statement Letter		1	4	
	Information Disclosure Statement (IDS) Filed		5	5	
Warnings:					
Information:					
2	NPL Documents	NDL_Domowitz.pdf	1399396	no	26
			85c0c96757be6f6030a9d37c4259b08f35c932a		
Warnings:					
Information:					
3	NPL Documents	NDL_Hendershott.pdf	973390	no	6
			c24fe4663b3a56c327e02362bc054e99a0d5ac30f		
Warnings:					
Information:					
4	NPL Documents	NPL_EPSearch.pdf	66497	no	2
			ba56ef1c7f7ba787c350acbad505a743b9f2109a		
Warnings:					
Information:					
5	NPL Documents	NPL_Merrin.pdf	53596	no	1
			d67ca1b029b69bda359c0f545b67524fa63604c7e		
Warnings:					
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Total Files Size (in bytes):			2681854		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.